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|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|----------|--------------|----------------|-------------------------|--|
| <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b><br>(Under 37 CFR 1.97(b) or 1.97(c))                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |             |          |              |                | Docket No.<br>02/1219US |  |
| In Re Application Of: <b>Wootton</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |             |          |              |                |                         |  |
| Application No.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No.        |  |
| 10/769,973                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 02/02/04    | TBD      | 22822        | 1724           | 8988                    |  |
| Title:<br><b>NBC Filtration Unit Provideing Unfiltered and Filtered Air Paths</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |             |          |              |                |                         |  |
| Address to:<br><b>Commissioner for Patents</b><br><b>P.O. Box 1450</b><br><b>Alexandria, VA 22313-1450</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                        |             |          |              |                |                         |  |
| <b>37 CFR 1.97(b)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |             |          |              |                |                         |  |
| 1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. |             |          |              |                |                         |  |
| <b>37 CFR 1.97(c)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |             |          |              |                |                         |  |
| 2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:                                                                                                                                             |             |          |              |                |                         |  |
| <div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e);         </div>                                                                                                                                                                                                                                                                                                                                                                                                                                               |             |          |              |                |                         |  |
| <b>OR</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |             |          |              |                |                         |  |
| <div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).         </div>                                                                                                                                                                                                                                                                                                                                                                                                                                                     |             |          |              |                |                         |  |

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

02/1219US

In Re Application: Wootton

Application No.

10/769,973

Filing Date

02/02/04

Examiner

TBD

Customer No.

22822

Group Art Unit

1724

Confirmation No.

8988

Title:

NBC Filtration Unit Provideing Unfiltered and Filtered Air Paths

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

March 23, 2005

(Date)

Signature of Person Mailing Correspondence

Geraldyn Spacher


Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: March 23, 2005

CC:

|                                                                                                                                                                                                              |                                              |                                         |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|-----------------------------------------|
| <div style="text-align: center;">  <p><b>INFORMATION DISCLOSURE CITATION</b><br/>(Use several sheets if necessary)</p> </div> | Docket Number (Optional)<br><b>02/1219US</b> | Application Number<br><b>10/769,973</b> |
|                                                                                                                                                                                                              | Applicant(s)<br><b>Wootton</b>               |                                         |
|                                                                                                                                                                                                              | Filing Date<br><b>02/02/04</b>               | Group Art Unit<br><b>1724</b>           |

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE     | NAME             | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|----------|------------------|-------|----------|-------------------------------|
|                      | A   | 4,526,013       | 07/02/85 | Joy              |       |          |                               |
|                      | B   | 4,983,190       | 01/08/91 | Verrando, et al. |       |          |                               |
|                      | C   | 5,665,143       | 09/09/97 | Jarvis, et al.   |       |          |                               |
|                      |     |                 |          |                  |       |          |                               |
|                      |     |                 |          |                  |       |          |                               |

**U.S. PATENT APPLICATION PUBLICATIONS**

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|------|------|-------|----------|-------------------------------|
|                      |     |                 |      |      |       |          |                               |
|                      |     |                 |      |      |       |          |                               |
|                      |     |                 |      |      |       |          |                               |
|                      |     |                 |      |      |       |          |                               |

**FOREIGN PATENT DOCUMENTS**

|  | REF | DOCUMENT NUMBER | DATE     | COUNTRY        | CLASS | SUBCLASS | Translation |    |
|--|-----|-----------------|----------|----------------|-------|----------|-------------|----|
|  |     |                 |          |                |       |          | YES         | NO |
|  | D   | 2 362 841       | 12/05/01 | United Kingdom |       |          |             |    |
|  | E   | WO 03/064932 A2 | 08/07/03 | PCT            |       |          |             |    |
|  |     |                 |          |                |       |          |             |    |
|  |     |                 |          |                |       |          |             |    |
|  |     |                 |          |                |       |          |             |    |

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

|  |   |                                                                                                                                                                                                                                                                                    |
|--|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | F | Technical Manual, Operator, Unit Direct Support and General Support Maintenance Manual, Field Deloyabl Environment Control Unit, Models FDECU-2, FDECU-3 and FDECU-4 (NSN 4120-01-449-0459), Army Technical Manual TM 9-4120-411-14 and Air Force Technical Manual T.O. 35E9-314-1 |
|  |   |                                                                                                                                                                                                                                                                                    |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.